

CURRICULUM VITAE: LAURENCE NEILL NATHAN

Kroc Institute for International Peace Studies
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HIGHLIGHTS

Professor of the Practice of Mediation and Director, Mediation Program, Kroc Institute for International Peace Studies, University of Notre Dame (2018-)

Professor and Director, Centre for Mediation in Africa, University of Pretoria (2011-2017)

Visiting Professor, Cranfield University, UK (2010-2015)

Author of four books; co-editor of two volumes; author of over 50 academic articles and chapters; over 1,500 Google Scholar citations

Member of the UN Academic Advisory Council on Mediation (2012-); UN Senior Mediation Adviser (2017); lead designer and trainer, UN High Level Mediation Course (2013-); senior mediator adviser to SADC, IGAD and ECOWAS

Member of ministerial commission on intelligence reform; member of presidential commission on arms exports; advisor to ministers and parliamentary committees responsible for defence, intelligence and/or foreign affairs in South Africa and other SADC countries

Member of boards or advisory committees of five academic institutions, five academic journals, ten governmental bodies and five non-governmental organizations

Extensive management and fundraising experience at the University of Cape Town, London School of Economics and University of Pretoria (1992-)

Designer and presenter of post-graduate courses on mediation, conflict resolution and/or security sector reform at the universities of Cape Town, Pretoria, Rio De Janeiro, Cranfield and Addis Ababa

DATE\PLACE OF BIRTH

12 December 1959, Cape Town

NATIONALITY

South African. ID no. 5912125102088

EDUCATION

2009 PhD, Historical Studies, University of Cape Town (UCT)

1988-1990 M.Phil, School of Peace Studies, Bradford University

1978-1983 B.Bus.Sci/LLB, UCT

EMPLOYMENT

2018- Professor of the Practice of Mediation and Director, Mediation Program, Kroc Institute for International Peace Studies, University of Notre Dame

2011-2017 Professor and Director, Centre for Mediation in Africa, University of Pretoria

2010- Senior Researcher, Department of Political Sciences, University of Pretoria

2005-2010 Research Fellow, Crisis States Research Centre, London School of Economics (LSE); co-ordinator of CSRC programme on regional security

2004-2005 Senior Research Associate, Centre for Conflict Resolution, University of Cape Town

1992-2003 Executive Director, Centre for Conflict Resolution

1991-1992 Co-ordinator of the Project on Peace and Security, Centre for Conflict Resolution

ACADEMIC APPOINTMENTS

2018 Visiting Professor, Kroc Institute for International Peace Studies, University of Notre Dame (January - June)

2017 Visiting Scholar, Law Faculty and Perry World House, University of Pennsylvania (June - December)

2017 Visiting Professor, Kroc Institute for International Peace Studies, University of Notre Dame (January - May)

2017 Guest editor, special edition of *African Security*, focusing on mediation in Africa, vol. 10, nos. 3 & 4

2016-2017 Lead author, Chapter on Violence, War, Peace and Security, Report of the International Panel on Social Progress (www.ipsp.org)

2013-2017 Professor, University of Pretoria

2013-2016 Advisory Board, Centre for Resolution of International Conflict, University of Copenhagen

2013- Editorial Advisory Board, *African Affairs*

2012- Member, UN Academic Advisory Council on Mediation

2011-2016 Series editor, *Mediation Arguments*, Centre for Mediation in Africa, University of Pretoria

2011-2013 Extraordinary Professor, University of Pretoria

2010-2015 Visiting Professor, Cranfield University

2010-2012 Honorary Research Associate, Department of Environmental and Geographical Sciences, UCT

2009- Book Reviews Advisory Committee, *International Affairs*

2007-2012 International Advisory Board, MSc in Security Sector Management, Cranfield University

2007-2015 Editorial Board, *Journal of Security Sector Management*, Cranfield University

2006-2010 Management Committee and Critical Advisory Review Group, Crisis States Research Centre, LSE

2006-2010 Research Fellow, Department of Environmental and Geographical Science, UCT

1995-2003 Policy Board, Defence Management Programme, University of the Witwatersrand

1993- Editorial Advisory Board, *South African Journal of International Affairs*

1993-2010 Editorial Committee, *Strategic Review for Southern Africa*

POST-GRADUATE TEACHING

- 2014-2016 Designer and presenter, module on International Mediation in Africa, LLM/M.Phil, Law Faculty, University of Pretoria
- 2011-2016 Designer & presenter, module on International Mediation in Africa, Hons/Masters in Diplomacy, University of Pretoria
- 2010-2013 Designer and presenter, module on Managing Intelligence Reform, MSc in Security Sector Management, Ethiopian Defence Ministry, Addis Ababa (in cooperation with Cranfield University)
- 2009-2014 Designer and presenter, module on Managing Intelligence Reform, MSc in Security Sector Management, Cranfield University, UK
- 2006-2012 Designer, convenor & teacher, Masters/Hons course on Understanding and Managing Conflict, UCT

POLICY APPOINTMENTS

- 2017- Senior Mediation Adviser, United Nations (UN)
- 2014-2015 Team leader, assessment of the African Peace and Security Architecture, commissioned by African Union (AU) and European Union
- 2013- Lead designer and trainer, UN High Level Mediation Course
- 2013 Advisor to the UN Office in Mali, Bamako
- 2010 Member of the Security Working Group, UN-AU mediation for Darfur
- 2010- Member of the UN Mediation Roster
- 2010-2015 Member of the UN Roster of Security Sector Reform Experts
- 2009-2012 Contracted by the UN Mediation Support Unit to draft policy on UN-AU mediation partnerships
- 2009-2011 Contracted by the UN Mediation Support Unit to assist the AU build its mediation capacity
- 2008-2009 Member of the International Reference Group for Security Sector Development in Burundi, Dutch Ministry of Foreign Affairs
- 2008-2010 Member of the International Advisory Group on Sustainable Security, Oxford Research Group

2007-2012 Contracted to help SADC build its mediation capacity

2007-2017 Contracted to help IGAD build its mediation capacity

2007 Participant in the UN Security Council's Arria-style meeting on security sector reform

2006-2008 Member of the Ministerial Review Commission on Intelligence, South Africa

2006-2008 Member of the Expert Advisory Group, UNDP Democratic Governance Practice Network

2006-2008 Member of the Steering Committee and Technical Committee of the Civic Education Programme for the Intelligence Services, South Africa

2006 Member of the Critical Review Panel for the *Implementation Framework for Security Sector Reform*, OECD DAC

2005-2006 Member of the AU mediation team for Darfur

2005 Adviser to the Palestinian security services and the Ward Mission on the preparation of a Palestinian White Paper on Safety and Security

2005 Commissioned by DFID to help ECOWAS strengthen its capacity for mediation and preventive diplomacy

2004-2006 Member of the Board of Directors of Conciliation Resources

2003 Member of a civic peace mission to Baghdad at the request of the President's Office, Republic of South Africa (RSA)

2003 Consultant to the British Ministry of Defence on security sector reform in Guatemala

2001-2003 Trustee of the Development and Reconciliation Fund of the Home for All Campaign, RSA

2001-2004 Member of the Carter Centre's International Council for Conflict Resolution

2000 Mediator in the Western Cape transport conflict

1999-2000 Adviser to the Foreign Minister of Swaziland in his capacity as Chair of the Inter State Defence and Security Committee; and drafter of the SADC *Protocol on Politics, Defence and Security Co-operation*

- 1998-2002 Member of the Advisory Committee of the Arms Division of Human Rights Watch, Washington DC
- 1997-2000 Member of the RSA Department of Defence work group tasked with drafting a new Defence Act and Military Discipline Code, and drafter of the Code of Conduct for the SANDF
- 1997 Adviser to Minister of Defence on equal opportunity and affirmative action, RSA
- 1996 Member of the Defence Review Work Group and drafter of the *Report on Defence Posture, Functions and Force Design*, Defence Review, RSA
- 1995-2002 Appointed by the Defence Minister to serve on the Civic Education Monitoring and Advisory Committee, Department of Defence, RSA
- 1995-1996 Drafter of the White Paper on Defence, RSA
- 1994-1999 Adviser to Deputy Minister of Defence and to Chairperson of the parliamentary defence committee, RSA
- 1994-1997 Appointed by President Mandela to serve on the Cameron Commission of Inquiry into Arms Trade
- 1994-1995 Adviser on regional security to the Foreign Minister of Mozambique and to the Executive Secretary of SADC
- 1994 Co-ordinator of the Security Advisory Committee, Independent Electoral Committee, RSA
- 1994 Member of the Ministry of Defence Work Group, Subcouncil on Defence, Transitional Executive Council, RSA
- 1992 Member of the Expert Group commissioned to prepare the 1993 SADC Programme of Action
- 1991-1993 Senior adviser on security and defence, African National Congress

PUBLICATIONS

Books

Falls the Shadow: Between the Promise and the Reality of the South African Constitution (co-editor, with K. Bentley and R. Calland), 2013, Cape Town: University of Cape Town Press

Community of Insecurity: SADC's Struggle for Peace and Security in Southern Africa, 2012, Farnham: Ashgate. Republished in soft cover by University of Cape Town Press, 2013

No Ownership, No Commitment: A Guide to Local Ownership of Security Sector Reform, May 2007; second edition October 2007, Birmingham: University of Birmingham

The Changing of the Guard: Armed Forces and Defence Policy in a Democratic South Africa, 1994, Pretoria: Human Sciences Research Council

Out of Step: War Resistance in South Africa, 1990, London: Catholic Institute for International Relations

War and Society: The Militarisation of South Africa (editor, with J. Cock), 1989, Cape Town: David Philip and New York: St Martins Press

Chapters in books

'Will the lowest be first? Subsidiarity in peacemaking in Africa', in P. Aall and C. Crocker (eds), 2016, *Minding the Gap: African Conflict Management in a Time of Change*, Montreal: McGill-Queen's University Press, pp. 157-170

'Introduction: mind the gap', in K. Bentley, L. Nathan and R. Calland (eds), 2013, *Falls the Shadow: Between the Promise and the Reality of the South African Constitution*, Cape Town: University of Cape Town Press, pp. 1-13

'Bending the rules: constitutional subversion by the intelligence services', in K. Bentley, L. Nathan and R. Calland (eds), 2013, *Falls the Shadow: Between the Promise and the Reality of the South African Constitution*, Cape Town: University of Cape Town Press, pp. 177-194

'Power, security and regional conflict management in Southern Africa and South Asia', in K. Harpviken (ed), 2010, *Troubled Regions and Fragile States: The Clustering and Contagion of Armed Conflict*, Comparative Social Research, vol. 27, Bingley: Emerald, pp. 309-332

'Exploring the domestic intelligence mandate: the case of South Africa', in S. Africa and J. Kwadjo (eds), 2009, *Changing Intelligence Dynamics in Africa*, Birmingham: University of Birmingham, pp. 155-178

'Courting El Bashir: South Africa, Sudan and Darfur', in K. Shillinger (ed), 2009, *Africa's Peacemaker? Lessons from South African Conflict Mediation*, Auckland Park: Jacana, pp. 81-91

'The challenge of local ownership of SSR: from donor rhetoric to practice', in T. Donais (ed), 2008, *Local Ownership and Security Sector Reform*, Zurich: LIT Verlag, pp. 19-36

'The making and unmaking of the Darfur Peace Agreement', in A. De Waal (ed), 2007, *War in Darfur and the Search for Peace*. Harvard: Harvard University Press, pp. 245-266

'Mediation and the African Union's Panel of the Wise', in S. Field (ed), 2004, *Peace in Africa: Towards a Collaborative Security Regime*, Johannesburg: Institute for Global Dialogue, pp. 63-80. Republished as *Discussion Paper No. 10*, Crisis States Research Centre, LSE, June 2005

'Organ failure: a review of the SADC Organ on Politics, Defence and Security', in L. Laakso (ed), 2002, *Regional Integration for Conflict Prevention and Peace Building in Africa: Europe, SADC and ECOWAS*, Helsinki: Department of Political Science, University of Helsinki, pp. 62-102

'The absent sentry: sanctions and the problem of weak border control', in M. Brzoska (ed), 2001, *Smart Sanctions: The Next Steps*, BICC Disarmament and Conversion Studies, No. 6. Nomos Verlagsgesellschaft, Baden-Baden: Bonn International Centre for Conversion, pp. 101-114

'Healing divided societies: sharing lessons and experiences', in C. Villa-Vicencio and T. Savage (eds), 2001, *Rwanda and South Africa in Dialogue: Addressing the Legacies of Genocide and a Crime Against Humanity*, Cape Town: Institute for Justice and Reconciliation, pp. 125-127

'The 1996 Defence White Paper: an agenda for state demilitarisation', in J. Cock and P. McKenzie (eds), 1998, *From Defence to Development: Redirecting Military Resources in South Africa*, Cape Town: David Philip, pp. 41-59

'Civil-military relations in the new South Africa', in W. Gutteridge (ed), 1996, *South Africa's Defence and Security into the 21st Century*, Aldershot: Dartmouth, pp. 87-109

'Revisiting security', in A. Minnaar, I. Liebenberg and C. Schutte (eds), 1994, *The Hidden Hand: Covert Operations in South Africa*, Pretoria: Human Sciences Research Council, pp. 375-389

'From a police force to a police service: the new Namibian police', in M. Mathews, P. Heymann and A. Mathews (eds), 1993, *Policing the Conflict in South Africa*, Gainesville: University Press of Florida, pp. 121-130

'Cross-currents: security developments under FW de Klerk' (with M. Philips), in G. Moss and I. Obery (eds), 1992, *South African Review 6: From 'Red Friday' to Codesa*, Johannesburg: Ravan, pp. 112-127

'Human rights, reconciliation and conflict in independent Namibia: the formation of the Namibian army and police force', in K. Rupesinghe (ed), 1992, *Internal Conflicts and Governance*, London: MacMillan, pp. 152-168

'Troops in the townships', in Cock and Nathan, *War and Society*, 1989, *op cit*, pp. 67-78

'Marching to a different beat: the history of the End Conscription Campaign', in Cock and Nathan, *War and Society*, 1989, *op cit*, pp. 308-323

'Waging peace: church resistance to militarisation' (with Harald Winkler), in Cock and Nathan, *War and Society*, 1989, *op cit*, pp. 324-337

'Resistance to militarisation: three years of the End Conscription Campaign', in G. Moss and I. Obery (eds), 1988, *South African Review* 4, Johannesburg: Ravan, pp. 104-116

Journal articles

'The mandate effect: a typology and conceptualization of mediation mandates', *Peace & Change*, forthcoming

'Civil war conflict resolution from the perspectives of the practitioner and the academic' (with Karl DeRouen Jr. and Marie Olson Lounsbery), *Peace & Change*, forthcoming

'Marching orders: exploring the mediation mandate', *African Security*, 2017, 10(3-4): 155-175

'How to manage inter-organizational disputes over mediation in Africa', *Global Governance*, 2017, 23(2): 151-162

'The intelligence requirement of international mediation', *Intelligence and National Security*, 2014, 29(2): 208-226

'The disbanding of the SADC Tribunal: a cautionary tale', *Human Rights Quarterly*, 2013, 35(4): 870-892

'Synopsis of *Community of Insecurity: SADC's Struggle for Peace and Security in Southern Africa*', *African Security Review*, 2013, 22(3): 167-175

'How should the peace and security performance of SADC be evaluated? A response to my critics', *African Security Review*, 2013, 22(3): 187-195

'Solidarity triumphs over democracy: the dissolution of the SADC Tribunal', *Development Dialogue*, 2011, 57: 123-137

'Interests, ideas and ideology: South Africa's policy on Darfur', *African Affairs*, 2011, 110(438): 55-74

'The African Union and regional organisations in Africa: communities of insecurity', *African Security Review*, 2010, 19(2): 106-113

'Intelligence bound: the South African Constitution and intelligence services', *International Affairs*, 2010, 86(1): 195-210

'AFRICOM: a threat to Africa's security', *Contemporary Security Policy*, 2009, 30(1): 58-61

'Lighting up the intelligence community: an agenda for intelligence reform in South Africa', *African Security Review*, 2009, 18(1): 91-104

'The causes of civil war: the false logic of Collier and Hoeffler', *South African Review of Sociology*, 2008, 39(2): 262-275

'The failure of the Darfur mediation', *Journal of Ethnopolitics*, 2007, 6(4): 495-511

'Africa's early warning system: an emperor with no clothes?', *South African Journal of International Affairs*, 2007, 14(1): 49-60

'Domestic instability and security communities', *European Journal of International Relations*, 2006, 12(2): 275-299

'SADC's uncommon approach to common security, 1992-2003', *Journal of Southern African Studies*, 2006, 32(3): 605-622. Co-winner of the *Journal of Southern African Studies* best article prize for 2006.

'Consistencies and inconsistencies in South Africa's foreign policy in Africa', *International Affairs*, 2005, 81(2): 361-372

'Obstacles to security sector reform in new democracies', *Journal of Security Sector Management*, 2004, 2(3). Also published in C. McCartney, M. Fischer and O. Wils (eds), *Security Sector Reform: Potentials and Challenges for Conflict Transformation*, Berghof Handbook Dialogue Series, 2004, 2: 29-33; and in *Mirador de Seguridad*, Instituto de Estudios Estrategicos y Politicas Publicas, Managua, February 2005, pp. 1-5

'Up in arms: a critique of the Conventional Arms Control Bill', *South African Journal on Human Rights*, 2000, 16(4): 703-713

'Who guards the guardians? An agenda for civil-military relations and military professionalism in South Africa', *Strategic Review for Southern Africa*, 1995, 17(1): 47-74

'A new approach to security in Africa and southern Africa', *International Journal of Group Tensions*, 1994, 24(1): 23-41

'With open arms: confidence- and security-building measures in Southern Africa', *South African Journal of International Affairs*, 1994, 1(2): 110-126. Also published in *Disarmament: Topical Papers*, 1993, 14, United Nations, pp. 118-134

'Towards a post-apartheid threat analysis', *Strategic Review for Southern Africa*, 1993, 15(1): 43-71

'Towards a Conference on Security, Stability, Development and Co-operation in Africa', *Africa Insight*, 1992, 22(3): 212-217

'South African security policy and security forces in transition' (with Mark Philips), *Social Justice*, 1991, 18(1-2). Republished in *African Peace Research Journal*, 1991, 6(3): 6-13

Other research publications

Capturing UN Preventive Diplomacy Success: How and Why Does It Work? (with Adam Day, Joao Honwana and Rebecca Brubaker), Centre for Policy Research, UN University, in collaboration with the UN Department of Political Affairs

'What is the essence of international mediation in civil wars? The challenge of managing complexity', *BPC Papers* 2(2), 2014, BRICS Policy Centre, Catholic University of Rio de Janeiro

'Correspondence. A case for rebel victories? Reviewing the evidence and method', *International Security*, 2011, 36(1): 202-210

'The peacemaking effectiveness of regional organisations', *Working Paper*, 2010, series 2, no. 81, Crisis States Research Centre, LSE

'No ownership, no peace: the Darfur Peace Agreement', *Working Paper*, 2006, series 2, no. 5, Crisis States Research Centre, LSE

'The frightful inadequacy of most of the statistics: a critique of Collier and Hoeffler on causes of civil war', *Discussion Paper*, 2005, 11, Crisis States Research Centre. Re-published as *Track Two Occasional Paper*, 2005, 12(5), Centre for Conflict Resolution

'The absence of common values and failure of common security in Southern Africa, 1992-2003', *Working Paper*, 2004, 1(50), Crisis States Programme, Development Research Centre, LSE

'Accounting for South Africa's successful transition to democracy', *Discussion Paper*, 2004, 5, Crisis States Research Centre, LSE

'The four horsemen of the apocalypse: the structural causes of crisis and violence in Africa', *Peace and Change*, 2000, 25(2): 188-207. Republished in P. Batchelor and K. Kingma (eds), *Demilitarisation and Peace-Building in Southern Africa: Concepts and Processes*, Vol. 1, Aldershot: Ashgate, 2004, pp. 41-58. Sections of this article were reproduced in the *Reflection Paper* presented by Portugal and approved at the Informal Meeting of EU Development Ministers in Lisbon, 28-29 January 2000. In 2004 a summary of the article was posted on the DFID website of the Governance Resource Centre at the University of Birmingham. In 2005 the Office of the UN High Commissioner for Human Rights included the article in a distance learning course for national human rights institutions; and UNESCO requested permission to translate and republish the article for its "From Potential Conflict to Co-operation Potential" project in Latin

America and the Caribbean. The article was included in the Reader on Human Security and Development, Department of Development Studies, University of South Africa, 2008-2011.

'When push comes to shove: the failure of international mediation in African civil wars', *Track Two Occasional Paper*, 1999, 8(2)

'Good governance, security and disarmament in Africa', *African Journal of Political Science*, 1998, 3(2): 69-79

'After the storm: common security and conflict resolution in Southern Africa' (with Joao Honwana), *The Arusha Papers: A Working Series on Southern African Security*, 1995, 3, Centre for Southern African Studies, University of the Western Cape

'The joker in the pack: the South African security forces in transition', *Peace Review*, 1991, 3(4): 41-46

'Riding the tiger: the integration of armed forces and the post-apartheid military', *Southern African Perspectives*, 1991, 10: 1-21

'Marching to a different drum: a description and assessment of the formation of the Namibian police and defence force', *Southern African Perspectives*, 1991, 4

Official Documents

Ministerial Review Commission on Intelligence, 'Intelligence in a Constitutional Democracy. Final Report to the Minister for Intelligence Services, the Honourable Mr Ronnie Kasrils MP', 2008, South Africa

Ministerial Review Commission on Intelligence, 'Submission on the Protection of Information Bill', submitted to the Ad-Hoc Committee on Intelligence in the National Assembly, March 2008

Ministerial Review Commission on Intelligence, 'Submission on the National Strategic Intelligence Amendment Bill', submitted to the Ad-Hoc Committee on Intelligence in the National Assembly, July 2008

SADC Protocol on Politics, Defence and Security Co-operation (Piggs Peak Draft, 26 May 2000)

Report on Defence Posture, Functions and Force Design, Defence Review, Department of Defence, April 1997

'*Defence in a Democracy*': *White Paper on National Defence for the Republic of South Africa*, May 1996

First Report of the Commission of Inquiry into Alleged Arms Transactions between Armscor and one Eli Wazan and Other Related Matters (with Mr Justice E. Cameron), 1995

Second Report of the Commission of Inquiry into Alleged Arms Transactions between Armscor and one Eli Wazan and Other Related Matters, 1995

AWARDS AND RESEARCH GRANTS

Research grant, United Kingdom Permanent Mission to the UN (2017-18)

Visiting Professor, Kroc Institute for International Peace Studies, University of Notre Dame (January-June 2017; January-June 2018)

Long-listed for *Community of Insecurity: SADC's Struggle for Peace and Security in Southern Africa*, Association of Science of South Africa (ASSAf) Humanities Book Award, 2016

Research grant, Konrad Adenauer Foundation, 2013

Research grant, African Peacebuilding Network, Social Science Research Council, New York, 2012 and 2014

Research grant, Government of Belgium, 2011

Winner of the Nelson Mandela International Essay Competition, Royal United Services Institute for Defence and Security Studies (RUSI), London, 2007

Co-winner of the *Journal of Southern African Studies* best article prize, 2006

Research grant, Crisis States Programme, LSE, 2005-2010

Research grant, Global Facilitation Network for Security Sector Reform, University of Birmingham, 2009

Research grants, Ford Foundation, 2004-5 and 2005-7

Research grant, Swiss Development Corporation, 2004-5

Research grant, Government of Finland, 2004-5

Ashoka Fellowship, 1992

INTERESTS

Outdoor sporting activities (hiking, jogging and fishing) and collecting African art

May 2018